



22883

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE2855  
AC

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$55.00)

Complete if Known

|                      |             |
|----------------------|-------------|
| Application Number   | 09/540,674  |
| Filing Date          | 3/31/2000   |
| First Named Inventor | Majidi-Ahy  |
| Examiner Name        | Smith, S.   |
| Art Unit             | 2685        |
| Attorney Docket No.  | 164.1001.01 |

RECEIVED

JUL 15 2003

Technology Center 2000

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None Deposit Account

|                        |                         |
|------------------------|-------------------------|
| Deposit Account Number | 50-0365                 |
| Deposit Account Name   | Swernofsky Law Group PC |

## The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application required for this filing  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description                | Fee Paid |
|--------------|--------------|--------------------------------|----------|
| 1001         | 750          | 2001 375 Utility filing fee    |          |
| 1002         | 330          | 2002 165 Design filing fee     |          |
| 1003         | 520          | 2003 260 Plant filing fee      |          |
| 1004         | 750          | 2004 375 Reissue filing fee    |          |
| 1005         | 160          | 2005 80 Provisional filing fee |          |

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |        |   |   |                |          |
|--------------------|--------|---|---|----------------|----------|
| Total Claims       | -20**= | X | = | Fee from below | Fee Paid |
| Independent Claims | -3**=  | X | = |                |          |
| Multiple Dependent |        |   | = |                |          |

## Large Entity Small Entity

| Large Entity | Small Entity | Fee Description  |
|--------------|--------------|--|
| 1202         | 18           | 2202 9 Claims in excess of 20                                    |
| 1201         | 84           | 2201 42 Independent claims in excess of 3                        |
| 1203         | 280          | 2203 140 Multiple dependent claim, if not paid                   |
| 1204         | 84           | 2204 42 **Reissue independent claims over original patent        |
| 1205         | 18           | 2205 9 **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For Reissues, see above

| 3. ADDITIONAL FEES                |          |              |          |  |          |
|-----------------------------------|----------|--------------|----------|--|----------|
| Large Entity                      |          | Small Entity |          | Fee Description  |          |
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) | Fee Description  | Fee Paid |
| 1051                              | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052                              | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                              | 130      | 1053         | 130      | Non-English specification  |          |
| 1812                              | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804                              | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                              | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251                              | 110      | 2251         | 55       | Extension for reply within first month                                     | \$55.00  |
| 1252                              | 410      | 2252         | 205      | Extension for reply within second month                                    |          |
| 1253                              | 930      | 2253         | 465      | Extension for reply within third month                                     |          |
| 1254                              | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |          |
| 1255                              | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |          |
| 1401                              | 320      | 2401         | 160      | Notice of Appeal   |          |
| 1402                              | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403                              | 280      | 2403         | 140      | Request for oral hearing   |          |
| 1451                              | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                              | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453                              | 1,300    | 2453         | 650      | Petition to revive - unintentional   |          |
| 1501                              | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |          |
| 1502                              | 470      | 2502         | 235      | Design issue fee   |          |
| 1503                              | 630      | 2503         | 315      | Plant issue fee  |          |
| 1460                              | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807                              | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                              | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021                              | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                              | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                              | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                              | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802                              | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify)               |          |              |          |  |          |
| *Reduced by Basic Filing Fee Paid |          |              |          |  |          |
| SUBTOTAL (3) (\$ 55.00)           |          |              |          |  |          |

| SUBMITTED BY           |                      |                                   |        |           |              |
|------------------------|----------------------|-----------------------------------|--------|-----------|--------------|
| Complete if applicable |                      |                                   |        |           |              |
| Name (Print/Type)      | Steven A. Swernofsky | Registration No. (Attorney/Agent) | 33,040 | Telephone | 650-947-0700 |
| Signature              | SA Swernofsky        |                                   |        | Date      | 07/08/2003   |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

22883

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/540,674

Filing Date Mar 31, 2000

First Named Inventor Majidi-Ahy

Group Art Unit 2685

Examiner Name Smith, S.

**RECEIVED**

JUL 15 2003

Total Number of Pages in This Submission

22

Attorney Docket Number

164.1001.01

Technology Center 2600

**ENCLOSURES (check all that apply)**

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form ( 2 copies)         | <input type="checkbox"/> Assignment Papers<br>(for an Application)                      | <input type="checkbox"/> After Allowance Communication to Group                                    |
| <input checked="" type="checkbox"/> Fee Attached (PTO Form 2038 – 2 copies)  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                |
| <input checked="" type="checkbox"/> Amendment / Reply                        | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)         |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert a Provisional Application                  | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request (2 copies)     | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Postcard |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  |  |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |
| Remarks  |   |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                      |                 |
|-------------------------|----------------------|-----------------|
| Firm or Individual name | Steven A. Swernofsky | Reg. no. 33,040 |
| Signature               |                      |                 |
| Date                    | 07/08/2003           |                 |

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this date:

7/8/2003

|                      |            |
|----------------------|------------|
| Type or printed name | DeDe Scott |
| Signature            |            |
| Date                 | 7/8/2003   |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.